

61-04-02

A/R EISJ

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PTO/SB/50 (4/98)

REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Commissioner for Patents
Box Reissue Patent Application
Washington, DC 20231

Attorney Docket No.	500042.02
First Named Inventor	Gurtej S. Sandhu
Original Patent Number	6,007,408
Original Patent Issue Date (Month/Day/Year)	December 28, 1999
Express Mail Label No.	EL 872037744 US

APPLICATION FOR REISSUE OF:
(check applicable box)



Utility Patent

Design
Patent

Plant Patent

APPLICATION ELEMENTS

1. ☐ * Fee Transmittal Form (PTO/SB/56)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification and Claims (amended, if appropriate)
3. ☒ Drawing(s) (proposed amendments, if appropriate)
4. ☐ Reissue Oath / Declaration (original or copy)
5. Original U.S. Patent
☐ Offer to Surrender Original Patent
(37 C.F.R. § 1.178)
☐ Ribbonded Original Patent Grant
Or ☐ Affidavit / Declaration of Loss
6. Original U.S. Patent currently assigned?
☒ Yes ☐ No
(If yes, check applicable box(es))
☐ Written Consent of all Assignees
☒ 37 CFR 3.73(b) Statement ☐ Power of Attorney

ACCOMPANYING APPLICATION PARTS

7. ☐ Foreign Priority Claim (35 U.S.C. 119)
(if applicable)
8. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ English Translation Document (if applicable)
10. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. Other: Certificate of Express Mail
Reissue Application Transmittal Letter

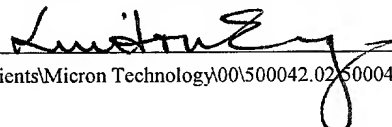
14. CORRESPONDENCE ADDRESS

Kimton N. Eng
Dorsey & Whitney LLP
1420 Fifth Avenue, Suite 3400
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(206) 903-8800 phone
(206) 903-8820 fax

Respectfully submitted,

TYPED or PRINTED NAME Kimton N. EngREGISTRATION NO. 43,605

SIGNATURE



Date

December 28, 2001

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December 28, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Reissue Patent Application:

Applicant : Gurtej S. Sandhu
 Assignee: : Micron Technology, Inc.
 Filed : December 28, 2001
 For : METHOD AND APPARATUS FOR ENDPOINTING MECHANICAL AND
 CHEMICAL-MECHANICAL POLISHING OF SUBSTRATES
 Docket No. : 500042.02

Corresponding Issued U.S. Patent:

Patent No. : 6,007,408
 Issued : December 28, 1999
 Application No. : 08/917,665
 Filing Date : August 21, 1997
 Examiner : George Nguyen
 Art Unit : 3723

BOX REISSUE
 Commissioner for Patents
 Washington, DC 20231

REISSUE APPLICATION TRANSMITTAL LETTER

Sir:

Transmitted herewith is the Application for Reissue of the above-identified patent.

Enclosed are the following:

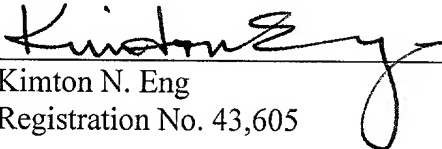
- (1) Postcard
- (2) Certificate of Mailing by Express Mail
- (3) Form PTO/SB/50 (+ copy)
- (4) Specification, Claims, Abstract and Figures
- (5) Four (4) Sheets of Drawings, Figures 1-4
- (6) Preliminary Amendment
- (7) Information Disclosure Statement and Form PTO-1449;
- (8) Offer to Surrender the Original Patent (unsigned)

1003221 6235001

- (9) Assent of Assignee (unsigned)
- (10) Certificate Under 37 C.F.R. § 3.73(b) (unsigned)
- (11) Reissue Application Declaration (unsigned)

Respectfully submitted,

Dorsey & Whitney LLP



Kimton N. Eng
Registration No. 43,605

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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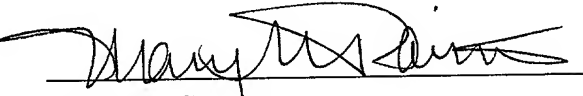
BOX REISSUE

Commissioner for Patents
 Washington, DC 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 872037744 US, on December 28, 2001, addressed to Box Reissue, Commissioner for Patents, Washington, DC 20231.



For West Courier

Enclosures:

Postcard
 Reissue Application Transmittal Letter
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 Assent of Assignee (unsigned)
 Certificate Under 37 C.F.R. § 3.73(b) (unsigned)
 Reissue Declaration (unsigned)

FOOTNOTES

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, DC 20231

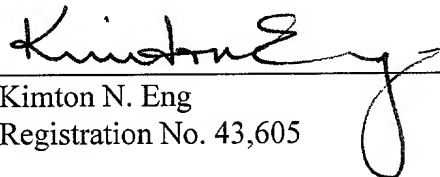
FILING FORMAL DRAWINGS IN REISSUE APPLICATION

Sir:

Enclosed are the drawings from the original file of U.S. Patent No. 6,007,408 to be used for the reissue application of U.S. Patent No. 6,007,408. There are no changes in the drawings for the reissue application.

Respectfully submitted,

Dorsey & Whitney LLP



Kimton N. Eng
Registration No. 43,605

Enclosures:

Four (4) Sheets of Drawings, Figures 1-4

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(206) 903-8800 Fax: (206) 903-8820

1003221 323001

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant : Gurtej S. Sandhu
 Patent No. : 6,007,408
 Issued : December 28, 1999
 Reissue Filed : December 28, 2001
 For : METHOD AND APPARATUS FOR ENDPOINTING MECHANICAL AND
 CHEMICAL-MECHANICAL POLISHING OF SUBSTRATES

MICRON TECHNOLOGY, INC.,
 (Name of Assignee)

a _____ Corporation
 (Type of Assignee, e.g., corporation,
 partnership, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8781 Frame 0755 (copy enclosed).
- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignees as shown below:
1. From: _____ To: _____
A copy is attached.
 2. From: _____ To: _____
A copy is attached.
 3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____
Frame _____ or for which a copy thereof is attached.
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: _____

Name: Michael L. Lynch

Title: Chief Patent Counsel

Signature: _____

1003523-12201